

Global Anti-Bribery and Corruption Survey 2011

KPMG
2011

OBJECTIVE:

To identify executives' most vexing anti-bribery and corruption (AB&C) compliance challenges and to understand how companies are preventing, detecting, and responding to AB&C risk.

METHODS USED:

KPMG surveyed 214 executives in the U.S. and UK.

KEY FINDINGS:

- One in five U.S. and UK respondents does not have communication and training programs.
- More than one in three in the U.S. and one in four UK respondents stated that training for employees is required less frequently than once a year.
- One in two U.S. and UK respondents does not have a committee responsible for overseeing compliance with AB&C regulations.
- Three in four U.S. and three in five UK respondents do not have a full-time dedicated AB&C compliance officer.
- One in three U.S. and UK respondents does not perform AB&C risk assessments.
- Of those who conduct AB&C risk assessments, almost one in three U.S. respondents (nearly one in four in the UK) conduct these assessments less frequently than once a year.
- Two in five respondents who have written AB&C policies do not distribute these policies to agents, distributors, vendors, brokers, joint venture partners, or suppliers.
- Three in five respondents who have AB&C compliance programs that incorporate AB&C training do not require any third party contracts to exercise this right.
- More than one in two U.S. and two in five UK respondents do not obtain periodic compliance certifications from agents, distributors, vendors, brokers, joint venture partners, or suppliers.
- Almost 80 percent of U.S. respondents—who self-identify themselves as “one of the most senior persons in charge of day-to-day AB&C matters at their company”—have little to no knowledge of the UK Bribery Act 2010, compared with 32 percent of UK respondents.
- Although nearly all respondents say their AB&C program complies with local laws, only 43 percent of U.S. respondents say their programs comply with the UK Bribery Act 2010, and only 46 percent of UK respondents say their programs comply with the FCPA.

CONCLUSION:

- These survey results highlight recent compliance developments and challenges.
- Continued attention by senior management, Boards of Directors, and other governing bodies to AB&C compliance efforts, i.e. preventing, detecting, and responding to bribery and corruption, will pay dividends in the future.

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